



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**ATTORNEY DOCKET NO. 047912-0136**



Applicant: Kenichi MIKI

Title: DATA READ/WRITE CONTROLLING METHOD, DISK ARRAY  
APPARATUS, AND RECORDING MEDIUM FOR RECORDING  
DATA READ/WRITE CONTROLLING PROGRAM

Appl. No.: Unassigned

Filing Date: 06/12/2001

Examiner: Unassigned

Art Unit: Unassigned

**UTILITY PATENT APPLICATION**  
**TRANSMITTAL**

Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional  
utility patent application of:

Kenichi MIKI

Enclosed are:

- [ X ] Specification, Claim(s), and Abstract (27 pages).
- [ X ] Formal drawings (3 Sheets, Figures 1-3).
- [ X ] Preliminary Amendment (3 pages).
- [ X ] Declaration and Power of Attorney (2 pages).
- [ X ] Assignment of the invention to NEC CORPORATION.
- [ X ] Assignment Recordation Cover Sheet.
- [ X ] Claim for Convention Priority and Priority Document.

Appl. No. Unassigned

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$710.00	\$710.00
Total Claims:	11	- 20	= 0	x \$18.00	= \$0.00
Independents:	5	- 3	= 2	x \$80.00	= \$160.00
If any Multiple Dependent Claim(s) present:			+	\$270.00	= \$0.00
				SUBTOTAL:	= \$870.00
[ ] Small Entity Fees Apply (subtract ½ of above):					= \$0.00
				TOTAL FILING FEE:	= \$870.00
Assignment Recordation Fee:			+	\$40.00	= \$40.00
				TOTAL FEE	= \$910.00

- [ X ] A check in the amount of \$910.00 to cover the filing fee and fee for recordation of Assignment is enclosed.
- [ ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [ X ] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

  
No 41514

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June 12, 2001  
Date

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